

OCT 16 2006

S/N 10/756961

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	SHIBATA	Examiner:	H. Pham
Serial No.:	10/756961	Group Art Unit:	2814
Filed:	January 13, 2004	Docket No.:	10873.0871USD1
Title:	SEMICONDUCTOR MEMORY DEVICE WITH TRENCH-TYPE STACKED CELL CAPACITORS AND METHOD FOR MANUFACTURING THE SAME		

CERTIFICATE UNDER 37 CFR 1.6(d):

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on October 16, 2006

By: Carrie Vanderlinde
Name: Carrie Vanderlinde

Mail Stop: AF
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

AMENDMENT AND RESPONSE UNDER 37 CFR 1.116

Dear Sir:

In response to the final Office Action mailed June 14, 2006, Applicant responds as follows:

Amendments to the Claims are shown in the listing of claims that begins on page 2 of this document.

Remarks begin on page 6 of this document.

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CERTIFICATE UNDER 37 CFR 1.600:I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office as Type 1,
2006By: Carrie Vanderlind
Name: Carrie Vanderlind

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RESPONSE UNDER 37 CFR 1.116

Dear Sir:

In response to the final Office Action mailed March 1, 2006, Applicant responds
as follows:

Remarks begin on page 2 of this document.

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11/11/06